## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ashkenazi et al.

Docket No.:

39780-1618P2C45

Serial No.:

-09/902,736

Group Art Unit:

1646

Filing Date:

July 10, 2001

Examiner:

Kemmerer, Elizabeth

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC

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## STATEMENT UNDER 37 C.F.R. §1.97

MAIL STOP: BOX DD Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1,97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

	This	statemer	at qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  — OR —
	. 🗔	(2)	It is being filed within 3 months of entry of a national stage  OR
	<del></del>		CERTIFICATE OF MAILING (37 CFR 1.10(a))
U.S. Po • address	ostal Scrvi	ice "Expre ul Stop: N	LING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the ss Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV346728062US lo-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Cheryl Ann Rogers

	. 🗖	(3) It is being filed before the mail date of the first Office Action on the merits  - OR -								
		(4) It is being filed before the mailing of a first Office Action after the filing of a request fo continued examination under § 1.114.								
	date of in §1.4	R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing a national application; (2) three months beyond the date of entry of the national stage as set forth 91 in an international application; or (3) the mailing date of a first Office action on the merits, but the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under then:								
٠		a certification as specified in §1.97(e) is provided below; or								
		a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:									
	<b>A.</b> ,	a certification as specified in §1.97(e) is completed below; and								
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and								
•	C.	a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
	\$180.00	thorization. The Commissioner is hereby authorized to charge the above-referenced fees of and charge any additional fees or credit any overpayment associated with this communication to Account No. <u>08-1641</u> ( <b>Docket No. 39780-1618P2C45</b> ).								
	••	Respectfully submitted,								
Dated:	May 27	, 2003  By:  Ginger R. Dreger  Reg. No. 33,055								
•		XCg. 140. 33,033								

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO.: 3978-1618P2C45	1	CIAL NO.: 002,736		
	PTO-1449	•	APPLICANT: ASHKENA				
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.